

# ICA Diploma in Governance, Risk and Compliance

Enrolment now open!

**Level 6 –  
Advanced**



## Get the right mix of technical knowledge and skills to drive a positive governance, risk and compliance culture at your firm.

- **Regulation** – discover why it is needed, lessons learned from history and how to manage the regulatory relationship
- **Behavioural compliance** – understand the principles and how they work in practice
- **Corporate governance** – learn to implement effective governance and the benefits for your firm
- **Regulatory risk management** – identify emerging opportunities and threats, understand systems and controls, and learn how to design a compliance risk management programme

Take your career to the next level with this internationally recognised diploma – the industry standard for those responsible for compliance in an organisation.

Awarded in association with Alliance Manchester Business School, the University of Manchester.

## What past learners say:



The entire experience was fantastic. Having people from countries all over created a shared global experience

Past student

In association with

**MANCHESTER**  
1824

The University of Manchester  
Alliance Manchester Business School

# Who is this qualification for?

## This course is suitable for:

- Compliance officers/managers and their deputies
- Managers with responsibility for internal compliance controls
- Risk managers
- Internal audit staff
- Regulatory representatives
- Accountants, lawyers, company secretaries

## You will need a good standard of spoken and written English and ONE of the following:

- Sound educational background, such as a degree or professional qualification in an appropriate discipline, or
- An ICA International Advanced Certificate qualification, or
- Three years' relevant work experience



# What does this qualification cover?

## Module 1

- The need for regulation
- Lessons from the history of regulation
- Managing the regulatory relationship

## Module 2

- Understanding behavioural compliance
- Using corporate governance for the benefit of the firm

- Achieving effective corporate governance

## Module 3

- Effective regulatory risk management
- The role of the compliance function
- The role of the compliance professional



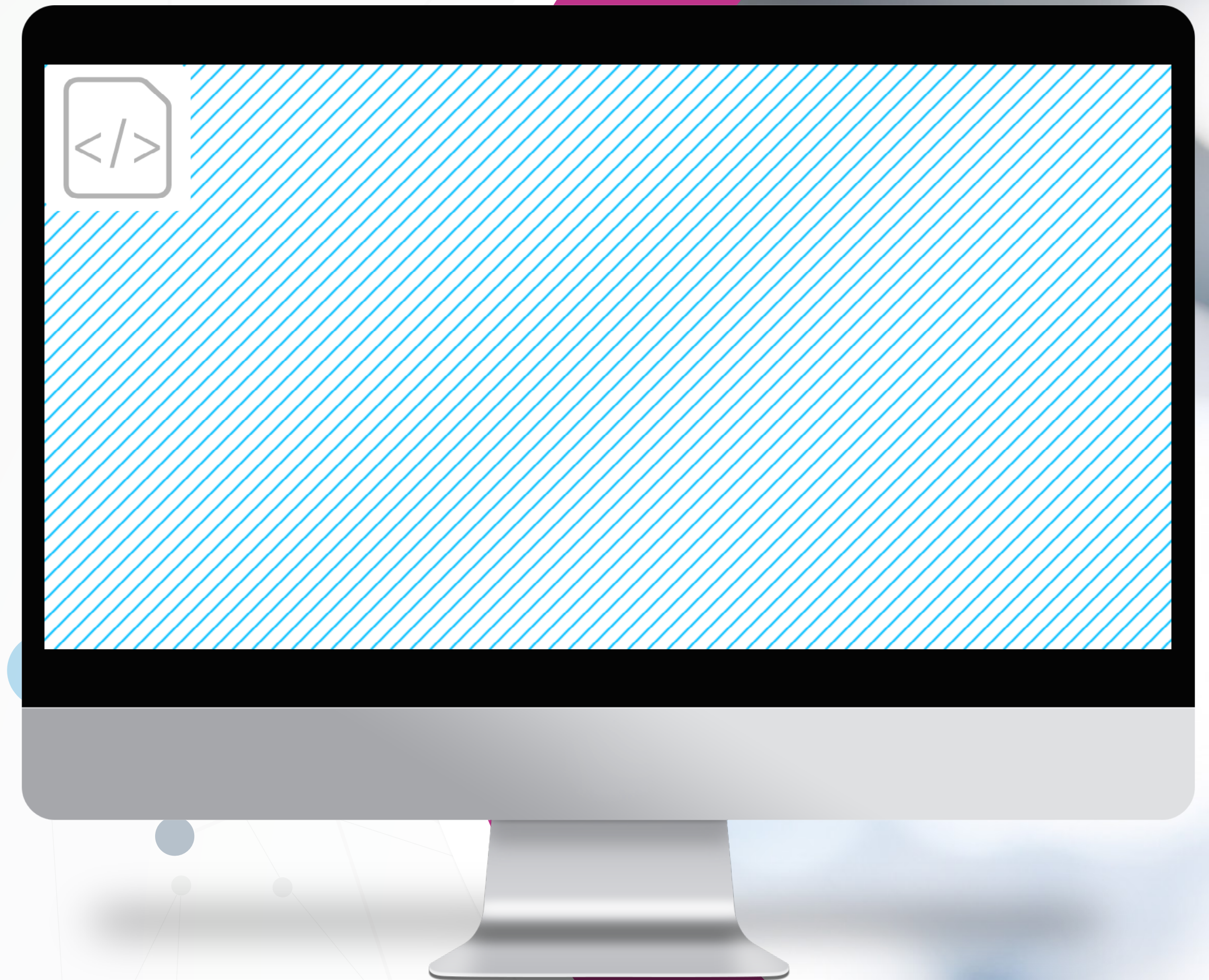
# How will you learn?

This is a flexible, part-time, nine-month course designed for busy working professionals. It is a mix of guided, online study and attendance at live, virtual classrooms.

Innovative virtual classrooms delivered by **subject matter experts** bring the content to life, enabling you to absorb knowledge and turn it into ability, while **immersive scenarios** will enable you to gain confidence and apply what you have learned in a simulated real-life situation.

The **Level 6 – Advanced** course is assessed through:

- Three timed end-of-module assessments, comprising multiple choice questions that test knowledge, understanding and analytical skills
- One written assignment with an industry sector focus
- One written case-study-based assignment connecting the elements of your learning



# ICA Qualifications

“ No recertification required; qualification for life ”



Awarded in association with Alliance Manchester Business School

## Understanding

New Entrant/Operations

### Certificate

- Length of course:  
Access for 2 months
- MCQ assessment
- OnDemand online learning

#### After the course I can:

- actively engage with my processes and procedures
- ask relevant questions
- understand what I’m asked to do and why I need to do it.

### Become an Associate Member (AICA)

“Your name” Cert(AML)

## Enhancing

Analyst/Manager

### Specialist Certificate

- Length of course:  
Access for 2 months
- MCQ assessment
- OnDemand online learning

#### After the course I can:

- broaden the projects I am involved in
- ask more specific questions on the topic
- become a catalyst and point of reference on the topic.

### Become an Associate Member (AICA)

“Your name” Spec.Cert(Conduct)

## Applying

Analyst/Officer

### Advanced Certificate

- Length of course:  
6 months
- 1 scenario based MCQ test and 1 written assignment
- Online + Workshops + Tutorials + Assignment preparation

#### After the course I can:

- identify gaps
- ask exploratory questions
- understand what I need to do, why and how I’m going to do it.

### Become an Associate Member (AICA)

“Your name” Adv.Cert(AML)

## Managing

Manager/Senior Manager

### Diploma

- Length of course:  
9 months
- 3 end of module objective MCQ tests and 2 written assignments
- Online + Workshops + Tutorials + Assignment preparation

#### After the course I can:

- identify, analyse and resolve gaps
- ask challenging questions
- make reasoned decisions
- support others to do the same.

### Become a Professional Member (MICA)

“Your name” Dip(AML)

## Leading

Senior Manager/Director

### Professional Postgraduate Diploma

- Length of course:  
12 months
- Reflective journal and competency based interview
- Online + Masterclasses

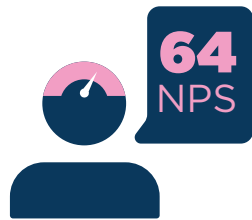
#### After the course I can:

- evaluate approaches to resolving gaps
- formulate frameworks to questions
- justify and critique decisions
- lead others to do the same.

### Become a Fellow Member (FICA)

“Your name” Prof.PgDip(AML)

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Rated Excellent across 40,000 annual learners, across 850+ workshops and 150 countries

[www.int-comp.org](http://www.int-comp.org)

# ICA Membership

When you enrol in this course you will join an international community of over 30,000 members.

Benefits include:

- **ICA Learning Hub** – access to hundreds of hours of CPD learning
- **inCOMPLIANCE magazine** – access to a digital bi-monthly magazine by practitioners for practitioners
- **Weekly webinars** – covering the hottest industry topics
- **Certified Practitioner status** – log 35 hours of

CPD and demonstrate your commitment to continuous learning

- **Discounts** – on ICA events and other ICA courses

The fee for ICA membership will be added separately to your basket when you enrol online for this course.

Successful completion of an ICA Diploma will also enable you to become a Professional Member of ICA.



# Next steps

If you have any questions, please review our **Frequently Asked Questions**.

If you still need support, please call +44 (0) 121 362 7534 chat to us on **Live Chat** or fill out the **Contact Us** form and a member of the team will get back to you.

## ICA Information Sessions

These sessions, led by our industry-respected tutors, will help you choose the right course for your career stage.



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